(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on: 31-12-2019

Name of Fund : LIFE FUND

Statement of Down Graded Investments Periodicity of Submission: Quarterly

Rs Crore

Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Grade	Date of last Downgrade	Remarks		
During the Quarter										
-										
As on Date										
8.25% GESHIP DB 25-05-2027	ECOS	19.02	25/09/2017	CARE	AAA	AA+	05/10/2018			
9.750/ INDIADULES HOUSING	HTDN	3.03	06/09/2017	CARE	AAA	AA+	24/09/2019			
FINANCE LIMITED DB 26-09-2021	IIIDN	0.00	00/03/2017	O/ II L						
O CON PELIANOE CARITAL LIMITED	ODAD	4.06	21/09/2019	CADE	ΔΔΔ	In .	20/09/2019			
DB 09-09-2021	URAD	4.96	31/06/2016	CARL			20/00/2010			
9 40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	ICRA	AA .	BBB	13/03/2019			
9.40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	ICRA	AA		BBB	BBB 13/03/2019		
	As on Date 8.25% GESHIP DB 25-05-2027 8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021 8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	As on Date 8.25% GESHIP DB 25-05-2027 ECOS 8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021 8.90% RELIANCE CAPITAL LIMITED ORAD DB 09-09-2021	### During the Quarter As on Date	### Purchase During the Quarter	### During the Quarter ### Agency During the Quarter	Purchase Agency Grade	Purchase Agency Grade Grade	Purchase Agency Grade Grade Downgrade		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 03-01-2020

Signature :

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

Note:

- 1. Provide details of Down Graded Investments during the Quarter.
- 2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing
- 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and
- 4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on : 31-12-2019

Name of Fund : PENSION FUND

Statement of Down Graded Investments Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks	
Α.	During the Quarter								,	
B.	As on Date									

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 03-01-2020

Signature: grainly

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

Note:

- 1. Provide details of Down Graded Investments during the Quarter.
- 2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing
- 3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and
- 4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on: 31-12-2019

Name of Fund: UNIT LINKED

Statement of Down Graded Investments Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks	
A.	During the Quarter									
3.	As on Date									
	8.25% GESHIP DB 25-05-2027	ECOS	1.02	26/06/2018	CARE	AAA	AA+	05/10/2018		
	8.75% INDIABULLS HOUSING FINANCE LIMITED DB 26-09-2021	HTDN	2.06	01/11/2018	CARE	AAA	AA+	24/09/2019		
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	3.75	05/02/2019	CARE	AAA	D	20/09/2019		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 03-01-2020

Signature: graidy

Full Name and Designation: MR.G.VAIDYANATHAN

Authorised Signatory

Note:

- 1. Provide details of Down Graded Investments during the Quarter.
- 2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
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FORM 2 PART A FOOTNOTE

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